



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: **Focazio et al.**

Serial No.: **10/756,932**

Filed: **January 14, 2004**

For: **Method and Apparatus for Validating
and Configuring Database Transaction
Requests from Multiple Clients**

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§ Group Art Unit: **2171**

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§ Examiner: **Unknown**

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§ Attorney Docket No.: **AUS920030927US1**

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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. 1.97**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to **IBM Corporation Deposit Account No. 09-0447**. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to **IBM Corporation Deposit Account No. 09-0447**.

In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.



This Supplemental Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Date: 4/30/04

Respectfully submitted,

Duke W. Yee
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YEE & ASSOCIATES, P.C.
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(972) 367-2001
ATTORNEY FOR APPLICANTS



Form PTO-1449

**LIST OF PRIOR ART CITED
BY APPLICANT***(Use several sheets if necessary)*

ATTORNEY DOCKET NO.

AUS920030927US1

SERIAL NO.

10/756,932APPLICANT **Focazio et al.**

FILING DATE

January 14, 2004GROUP ART UNIT **2171****U.S. PATENT DOCUMENTS**

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	AA 6,356,907 B1	Mar. 12, 2002	Hopmann	707/10	Jul. 26, 1999
	AB 6,449,620 B1	Sep. 10, 2002	Draper et al.	707/102	Mar. 2, 2000

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO

OTHER PRIOR ART *(including author, title, date, pertinent page, etc.)*

RELATED PATENT APPLICATIONS

EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE

DATE CONSIDERED

EXAMINER

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



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Transaction Requests from Multiple
Clients**

35525

PATENT TRADEMARK OFFICE
CUSTOMER NUMBER

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Group Art Unit: 2171

Examiner: **Unknown**

Attorney Docket No.: AUS920030927US1

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on April 30, 2004.

By:

Kit Cruz-Verona
Kit Cruz-Verona

TRANSMITTAL DOCUMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Supplemental Information Disclosure Statement;
- Form PTO-1449; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to **IBM Corporation Deposit Account No. 09-0447**. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to **IBM Corporation Deposit Account No. 09-0447**.

Respectfully submitted,

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